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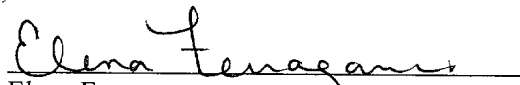
PATENT
Attorney Docket No. PRK-002
(6408/7)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Doctor et al.
SERIAL NO.: 09/833,089 GROUP NO.: 2163
FILING DATE: April 10, 2001 EXAMINER: Not yet assigned
TITLE: Health Care Payment Compliance Management

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on this 29th day of June, 2001.


Elena Ferragamo

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form (1 page)
Fee Transmittal (1 page)
Executed Declaration and Power of Attorney (3 pages)
Executed Small Entity Statement (1 page)
Copy of Notice to File Missing Parts (2 pages)
Substitute Formal Drawings (12 sheets)
Check in the amount of \$460.00
A return receipt postcard

ROSENHRS\6408\7.2125837_1



TRANSMITTAL FORM

| | |
|-----------------------------|------------------|
| Application Serial Number | 09/833,089 |
| Filing Date | April 10, 2001 |
| First Named Inventor | Doctor |
| Group Art Unit | 2163 |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | PRK-002 |
| BATCH NO. (after allowance) | Not applicable |
| Patent No. | Not applicable |
| Issue Date | Not applicable |

ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input checked="" type="checkbox"/> Substitute Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|--|---|---|


CORRESPONDENCE ADDRESS

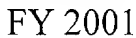
Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: June 29, 2001
Reg. No. 45,283
Tel. No.: (617) 248-7793
Fax No.: (617) 790-0247

Respectfully submitted,


R. Stephen Rosenholm
Atty/Agent for Applicant(s)
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110



| | |
|---------------------------|------------------|
| Application Serial Number | 09/833,089 |
| Filing Date | April 10, 2001 |
| First Named Inventor | Doctor |
| Group Art Unit | 2163 |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | PRK-002 |

FEE CALCULATION (continued)

- | 3. ADDITIONAL FEES | | | |
|--------------------|-----------------|---|----------|
| Large Entity | Small Entity | Fee Description | Fee Paid |
| Fee (\$) | Fee (\$) | | |
| 130 | 65 | Surcharge - late filing fee or oath | 65.00 |
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 130 | Non-English specification | |
| 2,520 | 2,520 | For filing a request for reexamination | |

1. FILING FEE

Large Entity

| Fee (\$) | Fee Description | Fee Paid |
|----------|------------------------|----------|
| 710 | Utility filing fee | 710.00 |
| 320 | Design filing fee | |
| 150 | Provisional filing fee | |

| | Number Filed | Number Extra | Rate | Amount |
|-----------------------|-----------------|-----------------|--------------|--------|
| Total Claims | 16 | - 20 = | x \$ 18 00 = | |
| Independent Claims | 4 | - 3 = | x \$ 80 00 = | 80 00 |

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL: 790.00

| | |
|------------------------|--------|
| SMALL ENTITY DISCOUNT: | 395.00 |
|------------------------|--------|

| | | |
|---------------------|------|--------|
| SUBTOTAL (1) | (\$) | 395.00 |
|---------------------|------|--------|

2. AMENDMENT CLAIM FEES

| Claims Remaining After Amend | Highest No Previously Paid For | Present Extra | Rate | Fee Paid |
|------------------------------------|--------------------------------------|------------------|------|----------|
|------------------------------------|--------------------------------------|------------------|------|----------|

Total - = x \$ 18 00 =

$$\text{Indep.} \quad - \quad = \quad \times \$ 80.00 =$$

| | |
|---|--------------|
| <input type="checkbox"/> First Presentation of Multiple Dep | + \$270.00 = |
|---|--------------|

Claim

TOTAL. (\$)

| | |
|------------------------|------|
| SMALL ENTITY DISCOUNT. | (\$) |
|------------------------|------|

| | |
|---------------------|-------------|
| SUBTOTAL (2) | (\$) |
|---------------------|-------------|

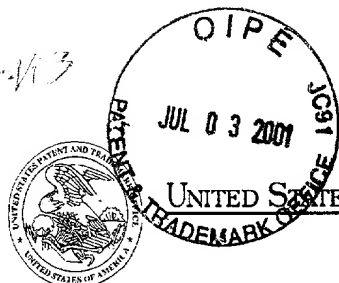
CORRESPONDENCE ADDRESS

Direct all correspondence to:
Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower-125 High Street
Boston, MA 02110
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Fax No.: (617) 248-7100

SIGNATURE BLOCK

June 29, 2001
Reg. No.: 45,283
Tel. No.: (617) 248-7798
Fax No.: (617) 248-0247

R. Stephen Rosenholm
Attorney for the Applicants
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Boston, MA 02110



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/833,089 | 04/10/2001 | Jonathan Doctor | PRK-002 |

CONFIRMATION NO. 8871

021323
TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

FORMALITIES LETTER



OC00000006183563

Date Mailed: 06/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]